



# HAUCK & AUFHÄUSER

FUND SERVICES

## Key Investor Information Document

This document provides you with key investor information about this fund. It is not marketing material. The information is required by law to help you understand the nature and the risks of this fund. You are advised to read it so you can make an informed decision about whether to invest.

## Storm Bond RCN NOK

Storm Fund II

ISIN LU1382364633

Managed by Hauck & Aufhäuser Fund Services S.A.

### Objectives & Investment Policy

The Sub-Fund is an absolute return oriented Sub-Fund. The Sub-Fund seeks to have a strong exposure to the Nordic countries either by investing in bonds traded or dealt on Nordic Markets or by investing in bonds mainly issued by Nordic entities or by entities active or exposed to the Nordic markets. The Sub-Fund will invest at least 51% in bonds of all type including but not limited to corporate bonds, convertible bonds and convertible asset backed bonds within the framework of its investment policy. In accordance with the principle of risk diversification, the Sub-Fund may invest into bonds, equities, profit participation certificates, units of investment funds (UCITS and UCIs), as well as certificates containing financial indices, equities, interest rates and foreign currencies as underlyings, and certificates on other permitted underlyings worldwide, including on the emerging

markets, without restriction. Up to 10% of the net assets of the Sub-Fund may be invested in units of investment funds. The Sub-Fund may not borrow in excess of 10% of its net assets. The Fund may make use of derivatives transactions to reduce any losses or to achieve higher growth. The investor's horizon should be long-term. Returns may be accumulated. Investors are entitled to request redemption of shares from the Management Company on each Valuation Day. However, we may suspend redemptions in exceptional circumstances if suspension is deemed necessary in consideration of investor interests. Fees for the purchase and sale of securities shall be paid by the Sub-Fund. These fees are incurred in addition to the percentages listed under "Charges" and may negatively impact Sub-Fund returns.

### Risk and Reward Profile



Historical data may not be a reliable indication for the future. The risk category shown is not guaranteed and may shift over time. Even the lowest category does not mean 'risk free'. The fund has been categorized SRRI 4 because its net asset value shows moderate volatility leading to adequate probabilities for losses as well as gains. Risks that could significantly affect fund performance and are not necessarily fully reflected in the risk and reward rating include: Liquidity Risk - Investing in securities that cannot easily be sold at full value increases the risk that the Fund may have to suspend the redemption of its shares. Issuer Risk -

Bonds owned by the Fund may lose some or all of their value if the financial health of the bonds issuers declines or fails.

# Storm Bond RCN NOK

Storm Fund II

## Charges for this fund

The charges you pay are used to pay the costs of running the fund, including the costs of marketing and distributing it. These charges reduce the potential growth of your investment.

One-off charges taken before or after you invest.

<b>Entry charge</b>	3,00%
<b>Exit charge</b>	0,25%

Charges taken from the fund over a year

<b>Ongoing charges</b>	1,17%
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Charges taken from the fund under certain specific conditions

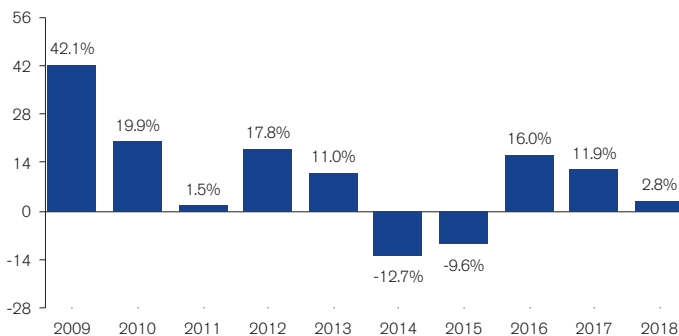
<b>Performance fee</b>	10,00%
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A yearly performance fee of up to 10 % of the net return is charged and is subject to a high watermark. A positive cumulative performance fee claim is only paid on the reporting date if the net asset value per share exceeds the high water mark. In this case, the high water mark is adjusted to the net asset value per share on the reporting date for the next

The entry charges shown are maximum figures. This is the maximum that might be taken out of your money. In some cases you might pay less - you can find this out from your financial adviser. The ongoing charges figure is based on expenses for the last finished financial year. This figure may vary from year to year. The ongoing charges figure excludes performance fees and transaction costs.

observation period. Any negative provision balance accumulated on the reporting date is taken into account in the subsequent period. In the last business year of the fund the performance fee was 0,25 %. The accounting period ends on 31 December of each year.

## Past Performance



This share class has performance data calculated prior to the inception date. This is based upon an extended track record, using the track record of another share class of the fund with similar characteristics. Past performance is no guarantee for future performance. All expenses and charges have been included when calculating performance data. Storm Bond Fund Class RCN (NOK) started to issue shares in 2016. Past performance has been calculated in Norwegian Kronas.

## Practical Information

- Hauck & Aufhäuser Privatbankiers AG, Luxembourg branch is the depositary for this fund.
- The prospectus, reports and accounts, latest unit prices, and further information on the fund can be obtained free of charge on our homepage [www.hauck-aufhaeuser.com](http://www.hauck-aufhaeuser.com)
- This fund is subject to the laws and tax regulations of Luxembourg. This may affect for example how your income from the fund is taxed.
- Storm Fund II is an undertaking for collective investment subject to Part I of the Law of 17 December 2010 and is as such subject to the prudential supervision of the Commission de Surveillance du Secteur Financier ("CSSF"). According to Article 2 of the Law of 23 December 1998 the CSSF is the competent authority responsible for the prudential supervision of undertakings for collective undertakings.
- Storm Fund II SICAV may be held liable solely on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the prospectus for the Fund.
- Details of the current remuneration policy, including a description of how remuneration and other benefits are calculated, and the identity of those responsible for allocating remuneration and other benefits, including the composition of the remuneration committee (if such a committee exists) are available on the Management Company's website at (<https://www.hauck-aufhaeuser.com/en/rechtliche-hinweise/legal-notices>). In addition, a paper version is available on request free of charge from the Management Company.

This fund is authorised in Luxembourg and regulated by Commission de Surveillance du Secteur Financier (CSSF).

This key investor information is accurate as at 01.11.2019.